



KARL SCHWABAUER, CPA, CFF, CVA

BUSINESS ADVISORY SERVICES TEAM

CONTACT DETAILS

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MEMBERSHIPS & CERTIFICATIONS

Certified Public Accountant (CPA)

- State of Texas

Texas Society of Certified Public Accountants

- Member, 2009-present

American Institute of Certified Public Accountants (AICPA)

- Certified in Financial
Forensics

National Association of Certified Valuators and Analysts (NACVA)

- Certified Valuation Analyst
(CVA)

Institute for Energy Law

- Advisory Board, 2010-present

American Bar Association (ABA)

- Business Law Section - M&A
Committee
- Litigation Section - Business
& Commercial Litigations
Committee, Expert Witness
Committee

SUMMARY OF EXPERIENCE

Karl Schwabauer graduated from the University of Louisiana at Lafayette with a Bachelor's in Accounting. He later earned his Master of Business Administration (MBA) from the California State University Dominguez Hills. In early 2021, Karl joined Rosenfield and Company as part of the Business Advisory Services Team. He resides in the Dallas area and works mainly out of our recently integrated Texas office, however he occasionally works in the California office, as well.

Karl has acquired substantial experience in providing forensic accounting, economic damages and valuation services, and testifying at trial, deposition, arbitration and as a court-appointed expert in support of the work he has performed. He has provided expert services in conjunction with matters relating to fraud or financial misrepresentation, fraudulent transfers, accounting issues, breach of fiduciary duties, diversion of assets, contract disputes, purchase and buy/sell agreement disputes, shareholder/partner disputes, minority shareholder oppression, insurance disputes, and intellectual property disputes, as well as valuation and solvency issues.

Karl also has extensive involvement in providing valuation services for non-litigation purposes, including but not limited to transaction advisory, mergers and acquisitions, financial reporting, and tax purposes. Additionally, he has experience in a variety of accounting areas including, corporate, partnership and individual tax, as well as estates and trusts.



 www.rosenfieldandco.com/karl/

 www.linkedin.com/in/karl-schwabauer/

KARL SCHWABAUER, CPA, CFF, CVA

EDUCATION

- **Bachelor's, Accounting** - University of Louisiana at Lafayette
- **Master of Business Administration (MBA)** - California State University Dominguez Hills

WORK EXPERIENCE

- **Business Advisory Services Team** - Rosenfield and Company, PLLC, 2021-Present
- **Managing Director** - Weaver, 2019-2021
- **Managing Director, Valuation and Litigation Services** - HSSK, LLC (merged with Weaver as of 2019), 2018-2021
- **Partner in Charge of Forensic Accounting, Valuation and Litigation Services** - BKM Sowan Horan, LLP, 2011-2018
- **Senior Vice President** - The BVA Group, 2009-2011
- **Valuation & Litigation Consulting Senior Manager** - Whitley Penn, LLP, 2007-2009
- **Tax, Valuation & Litigation Manager** - TravisWolff, 2006-2007
- **Tax, Valuation & Litigation Manager** - Chris Rainey and Associates, 1997-2006

BUSINESS AND COMMUNITY ACTIVITIES

- **Association for Corporate Growth (Dallas Chapter)** - Member 2006-present

EXPERT TESTIMONY - SINCE 2010

- **Deposition** - Michael Hall, et al. v. James Douglas, Jr., et al., Cause No. 199-02421-2008, 199th Judicial District Court, Collin County, Texas, Apr. 2010
- **Deposition** - William M. Bishop. v. E. Barger Miller, Carnallite Enterprises LLC, and Reunion Potash Company, Cause No. 2008-29234, 269th Judicial District Court, Harris County, Texas, Sep. 2010
- **Deposition** - Harry Carlson. v. Freedom Arms, Inc. et al., Cause No. CC-08-4892-C, County Court at Law, No.3, Dallas County, Texas, Oct. 2010
- **Arbitration** - Pinnacle Anesthesia Consultants, P.A. v. David Byrd, et al., Cause No. A-081009-771, American Health Lawyers Association, Alternative Dispute Resolution, Dec. 2010
- **Trial** - William M. Bishop. v. E. Barger Miller, Carnallite Enterprises LLC, and Reunion Potash Company, Cause No. 2008-29234, 269th Judicial District Court, Harris County, Texas, Jan. 2011
- **Arbitration** - Richard J. Sowan and Timothy P. Horan v. TravisWolff & Company, LLP, JAMS Arbitration No. 1310019108, Dallas, Texas, Aug. 2011
- **Deposition** - Lucky Merck, LLC d/b/a Greenville Bar & Grill, and Dumb Luck, LLC d/b/a Hurricane Grill, vs. Greenville Landmark Venture GP, L.L.C., and Cleyera, Inc. d/b/a Terilli's Restaurant & Bar, Case No. CC-10-02411-D, County Court at Law, No.4, Dallas County, Texas, Nov. 2011



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EXPERT TESTIMONY - CONTINUED

- **Hearing** - Fabricio Solorio and Michele Solorio v. Jose G. Garcia, individually and derivatively for TFC Group, LLC and Erica Garcia, Cause No. 11-10644, 160th Judicial District Court, Dallas County, Texas, Nov. 2011
- **Trial** - Lucky Merck, LLC d/b/a Greenville Bar & Grill, and Dumb Luck, LLC d/b/a Hurricane Grill, vs. Greenville Landmark Venture GP, L.L.C., and Cleyera, Inc. d/b/a Terilli's Restaurant & Bar, Case No. CC-10-02411-D, County Court at Law, No.4, Dallas County, Texas, Mar. 2012
- **Hearing** - Trent Proffitt, M.D. and Franklin Yau, M.D. v. North Dallas Surgical Investors, LLC, et al, Cause No. DC-11-02568, 191st Judicial District Court, Dallas County, Texas, Aug. 2012
- **Deposition** - Trent Proffitt, M.D. and Franklin Yau, M.D. v. North Dallas Surgical Investors, LLC, et al., Cause No. DC-11-02568, 191st Judicial District Court, Dallas County, Texas, Aug. 2013
- **Deposition** - CoreXchange, Inc, v. Viceroy Partners II, LP, JJA, Inc, Stephen J. Rogers and Peloton Real Estate Partners, Cause No. CC-11-07331-E, County Court at Law No. 5, Dallas County, Texas, Nov. 2013
- **Deposition** - Christian Heyer, individually & on behalf of Westland Partners, LLC v. Christian Groenke, Eric Peus, Brent Peus and Joseph Peus, Cause No. DC-13-08521, 191st Judicial District Court, Dallas County, Texas, Aug. 2015
- **Deposition** - Nardos Imam v. Mackenzie Leigh, LLC and Nardos Imam, LLC, Cause No. DC-15-06818, 192nd Judicial District Court, Dallas County, Texas, Feb. 2016
- **Trial** - Neal Technologies, Inc., V. Unique Motorsports, Inc., et al, Case No. 4:15CV385-RC-CMC, In the United States District Court of the Eastern District of Texas, Sherman Division, Aug. 2016
- **Trial** - Mindy Casas Wellshear v. Kraig Allen Wellshear, Cause No. 429-51743-2015, In the 429th Judicial District Court, Collin County, Texas, Sep. 2016
- **Deposition** - Matthew Stern v. Bella Custom Homes, et al, Cause No. CC-16-00079-B, In the County Court at Law No. 2 of Dallas County, Texas, Feb. 2017
- **Trial** - Matthew Stern v. Bella Custom Homes, et al, Cause No. CC-16-00079-B - In the County Court at Law No. 2 of Dallas County, Texas, Apr. 2017
- **Deposition** - The Fan Expo, LLC v. National Football League and Electronic Arts, Inc, Cause No. DC-16-04875, In the 44th Judicial District Court, Dallas County, Texas, Jul. 2017
- **Deposition** - Highland Park Emergency Center, LLC, Preston Hollow Emergency Room, LLC, and Omega Physicians, PLLC, f/k/a Highland Park Emergency Physicians, PLLC v. Jeffrey Erdner, Cause No. DC-15-12025, In the 191st Judicial District Court, Dallas County, Texas, Apr. 2018
- **Deposition** - Kolberg-Pioneer, Inc. Johnson Crushers International, Inc and Astec Mobile Screens, Inc, v. T-K-O Equipment Co., Case Number: 01-17-0002-9430, American Arbitration Association, Apr. 2018
- **Arbitration** - Kolberg-Pioneer, Inc. Johnson Crushers International, Inc and Astec Mobile Screens, Inc, v. T-K-O Equipment Co., Case Number: 01-17-0002-9430, American Arbitration Association, May 2018



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EXPERT TESTIMONY - CONTINUED

- **Arbitration** – Chikonya, PLLC, v. Allen Whole Health Dentistry, P.A., Namrita Singh, D.D.S., and 1st Med Transitions, LLC, Case Number: 01-17-0007-4945, American Arbitration Association, May 2019
- **Deposition** – Abhijit Ramachandran v. Vinay Jain, AROG Pharmaceuticals, Inc., Jain Investments, LLC, and Videra Pharmaceuticals, LLC, Civil Action No. 3:18-cv-00811, In the United States District Court for the Northern District of Texas, Dallas Division, Sep. 2019
- **Arbitration** – Nelson Shirley v. FMC Technologies, Inc., TechnipFMC PLC, and TechnipFMC US Holdings, Inc., International Institute of Conflict Prevention and Resolution, Sep. 2019
- **Deposition** – Steven Brown, Philip Angell, Tonnie Beck, Tammy Morris, and Dawn Burnham, individually and on behalf of all others similarly situated, v. GEICO Advantage Insurance Company, GEICO Indemnity Company, Government Employees Insurance Company, GEICO County Mutual Insurance Company, and GEICO Choice Insurance Company. In the United States District Court, Southern District of Texas, Houston Division. Case No. 4:20-cv-00799 Class Action, Jul. 2021
- **Trial** – RL National Roofing Partners, LLC d/b/a National Roofing Partners, Plaintiff, v. Travis Dale Tyler a/k/a Dale Tyler, Mallory Dale Payne a/k/a Mallory Dale Tyler, Eric S. Brandenburg, Defendants. Travis Dale Tyler a/k/a Dale Tyler, Third-Party Plaintiff, v. Geoff Craft, David Adams, Keith Post, Stephen G. Little, KPost Roofing, LLC, Third-Party Defendants. Cause No. 18-4635-211. In the 211th Judicial District Court, Denton County, Texas. Oct. 2021
- **Deposition** – Auto-Chlor System, LLC v Auto-Chlor System of West Texas, Inc., Auto-Chlor System of Fresno, Inc., Mike McPhail and U.S. Soap, LLC. CT-003973-17, Div. IX in the 13th Judicial District at Memphis, Circuit Court of Shelby County, Tennessee. Jan. 2022

